

review

# Innate immune and inflammatory responses to SARS-CoV-2: Implications for COVID-19

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## SUMMARY

COVID-19 can result in severe disease characterized by significant immunopathology that is spurred by an exuberant, yet dysregulated, innate immune response with a poor adaptive response. A limited and delayed interferon I (IFN-I) and IFN-III response results in exacerbated proinflammatory cytokine production and in extensive cellular infiltrates in the respiratory tract, resulting in lung pathology. The development of effective therapeutics for patients with severe COVID-19 depends on our understanding of the pathological elements of this unbalanced innate immune response. Here, we review the mechanisms by which SARS-CoV-2 both activates and antagonizes the IFN and inflammatory response following infection, how a dysregulated cytokine and cellular response contributes to immune-mediated pathology in COVID-19, and therapeutic strategies that target elements of the innate response.

## INTRODUCTION

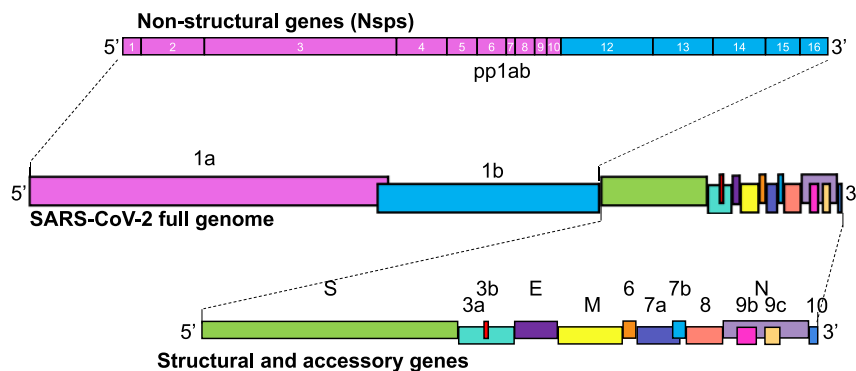
In December 2019, a novel respiratory disease, later named coronavirus disease 2019 (COVID-19), caused by severe acute respiratory syndrome (SARS) coronavirus (CoV) 2 (SARS-CoV-2) was recognized in Wuhan, China (Zhou et al., 2020b). As of May 7, 2021, 156 million cases worldwide with a mortality global rate of 2.2% have been identified (WHO, 2021). The SARS-CoV-2 pandemic was preceded by two other CoV epidemics, SARS in 2002 and Middle East respiratory syndrome (MERS) in 2012, with the latter continuing to circulate in camels throughout Asia and Africa. COVID-19 is characterized by both upper and lower respiratory tract infections, leading to symptomatology that varies from asymptomatic to lethal infection (Huang et al., 2020). Patients with mild disease have non-specific symptoms, including fever and cough, while those with moderate or severe illness experience decreased oxygen saturation, requiring hospitalization or ICU care in the most affected individuals (NIH, 2021).

Activation of the inflammatory response, including of the interferon (IFN) response, contributes to SARS-CoV-2 symptomatology, including fever and dyspnea (Huang et al., 2020). Severe disease can entail inflammatory-driven multi-organ failure, acute respiratory distress syndrome (ARDS), sepsis, secondary infections, and severe pneumonia (NIH, 2021). Disease severity is linked to a highly dysregulated innate immune response, which is broadly characterized by a delayed interferon (IFN) response relative to symptom onset and possibly peak virus replication, and the production of an exuberant inflammatory response. These events together facilitate robust viral replication and inflammatory damage to tissues. Currently, many SARS-CoV-2 vaccines have been rolled out worldwide, but global morbidity and mortality due to COVID-19 remain substantial. Furthermore, the ongoing viral evolution of SARS-CoV-2 has caused concern over the efficacy of current vaccines. For these reasons, we need

to better understand the innate immune response to SARS-CoV-2 in order to develop new and improved therapeutic options and enhance our understanding of pathogenesis. In this review, we discuss the current data on SARS-CoV-2 pathology, innate immune antagonism, and treatments. We show that both interferon expression and signaling, as well as pro-inflammatory cytokine production, if not properly temporally regulated, contribute to disease severity.

### Innate immunity toward CoVs

Distinguishing an appropriate but robust innate immune response from one that is dysregulated has proven difficult. It is generally believed that the innate immune response was dysregulated in both SARS and severe MERS (Arabi et al., 2020; Cameron et al., 2007; Channappanavar et al., 2016, 2019). In SARS-CoV-infected mice, a delayed type I IFN (IFN-I) response resulted in rapid virus replication, the recruitment of inflammatory monocyte-macrophages (IMMs) to the lungs, and abnormally heightened cytokine and chemokine responses, resulting in high mortality (Channappanavar et al., 2016). These pathogenic responses were prevented by treating mice with IFN-I prior to the peak of virus replication or by depleting IMMs (Channappanavar et al., 2016). Similarly, when mice were treated with IFN-I prior to being infected with MERS-CoV, it protected them from infection, while delaying IFN-I treatment until 2 days post-infection (dpi) resulted in a heightened inflammatory state characterized by IMM and neutrophil recruitment to the lungs and an elevated inflammatory cytokine expression (Channappanavar et al., 2019). In SARS patients, a prolonged IFN response resulted in a delayed adaptive immune response, the continued upregulation of inflammatory chemokines, and in distinct IFN-stimulated gene (ISG) expression, indicating that IFN production needs to be resolved to initiate and generate a protective adaptive immune response (Cameron et al., 2007). Furthermore,



**Figure 1. Schematic of the SARS-CoV-2 genome**

SARS-CoV-2 is a 30 kb, positive-strand RNA virus with a genome divided into non-structural genes (Nsps) on the 5' end (pink and blue boxes representing contributions from ORF1a and ORF1b [together, polyprotein 1ab, pp1ab] with numbered Nsps) and structural and accessory genes interspersed on the 3' end. Nsps 1–16 are critical for viral replication and have some immune evasion functions, while the major structural genes spike (S), membrane (M), envelope (E), and nucleocapsid (N) make up the virion. Accessory genes 3a, 3b, 6, 7a, 7b, 8, 9b, 9c, and 10 are not necessary for viral replication, but may play a structural or immune evasion role, as seen in other CoV accessory proteins. Dotted lines represent magnified genome portions.

treatment with IFN-I was effective only if administered early during MERS-CoV infection (Arabi et al., 2020). Current evidence indicates that some patients with severe COVID-19 present with delayed or no induction of IFN-I and -III (Galani et al., 2021). In the absence of effective IFN expression, SARS-CoV-2 is able to replicate to higher titers, resulting in an exaggerated inflammatory response. Given the importance of IFN expression and signaling in COVID-19 pathogenesis, we discuss IFN in more detail below.

## Interferon response

### Interferon production and signaling during viral infection

IFNs are a broad group of cytokines that are divided into three families—IFN-I, IFN-II, and IFN-III—and that are critical for immune defense against microbial pathogens. The IFN-I family is well studied in viral infections and include IFN- $\alpha$ , IFN-B, IFN- $\epsilon$ , IFN- $\omega$ , and IFN- $\kappa$ , while the IFN-II and IFN-III families include IFN- $\gamma$  and IFN-I, respectively (Hertzog et al., 2016). Upon respiratory CoV infection, signaling cascades are induced that result in IFN production that acts in both an autocrine and paracrine manner to activate IFN signaling pathways. Epithelial cells, endothelial cells, alveolar macrophages (AMs), natural killer (NK) cells, dendritic cells (DCs), and IMM are the primary IFN producers in respiratory virus infection. Plasmacytoid DCs (pDCs) in particular are thought to secrete most of the IFN-I during infection with respiratory CoVs, such as SARS-CoV (Channappanavar et al., 2016; Newton et al., 2016). Viral ssRNA and dsRNA intermediates are recognized by pattern recognition receptors (PRRs), with the cytosolic receptor MDA5 (melanoma differentiation-association protein 5) considered the most important for sensing CoV RNA (Cervantes-Barragan et al., 2007; Roth-Cross et al., 2008). Activated PRRs engage downstream adaptors, such as the mitochondrial antiviral-signaling (MAVS) protein or myeloid differentiation primary response 88 (MyD88) (Lazear et al., 2019; Lee and Ashkar, 2018). These adaptors activate interferon-regulatory factors (IRF3 and 7) and their nuclear translocation, where they bind IFN promoters. IFN-I and -III bind their respective receptors and activate the JAK/STAT signaling cascade to assemble the ISG factor 3 (ISGF3) complex, which ultimately binds to IFN-stimulated response element (ISRE) promoters, resulting in broad ISG production. IFN-II signaling results in STAT1 homodimer formation and in the production of a partially overlapping set of ISGs (Lee and Ashkar,

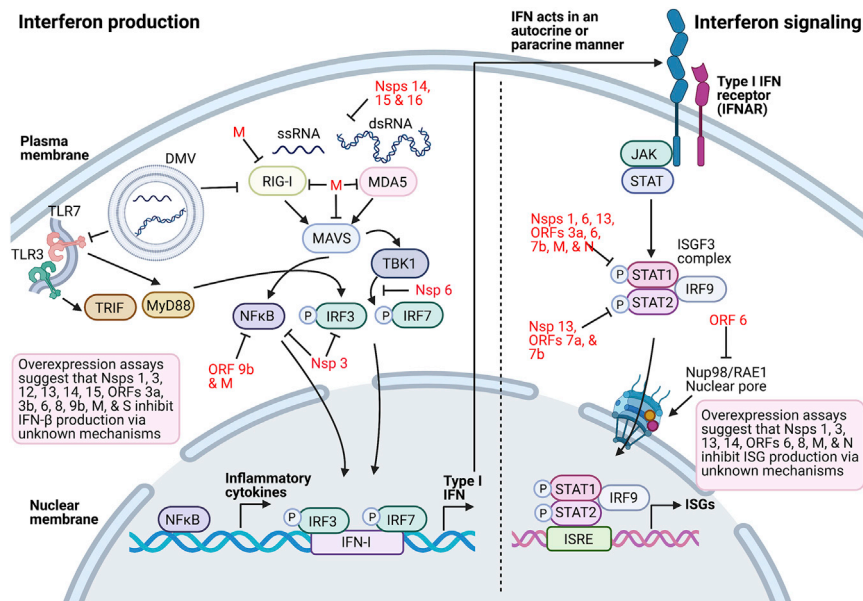
2018). These signaling cascades are essential for inducing an antiviral state on viral infection.

### Interferon antagonism by SARS-CoV-2 genes

CoV genomes encode 4 major structural proteins, spike (S), envelope (E), membrane (M), and nucleocapsid (N), and 16 non-structural proteins (Nsps) that are critical for replication (Figure 1). CoV replication and transcription takes place in double-membrane vesicles (Figure 2), which may function to shield viral RNA from PRR recognition (Versteeg et al., 2007; Zhou and Perlman, 2007). In addition, a varying number of accessory proteins are interspersed between the structural genes. These proteins are dispensable for virus replication but contribute to immune antagonism and pathogenesis (Figure 2). Many of these genes are known to antagonize or evade the interferon response, which may contribute to the delayed IFN expression seen in COVID-19 patients. These proteins act both upstream of IFN production, through evasion and antagonism of PRR recognition and signaling, and downstream by directly antagonizing the IFN signaling pathway, facilitating early rapid virus replication (Figure 2; Table 1). Thus, CoVs have developed many ways to subvert the IFN response.

### SARS-CoV-2 induction of IFN pathways in human patients

The IFN response during COVID-19 varies dramatically by patient and by disease severity, but it is clear that an early IFN response can be protective during acute infection. Individuals with genetically disrupted IFN production or signaling genes are at greater risk of developing severe COVID-19 (Bastard et al., 2020; Pairo-Castineira et al., 2021; Zhang et al., 2020). Additionally, anti-IFN-I autoantibodies were found in 10% of patients with severe COVID-19, whereas none of these antibodies were found in patients with mild/asymptomatic disease (Bastard et al., 2020). These data suggest that understanding the dynamics of the IFN response in mild and severe COVID-19 is critical. In order to address this, a longitudinal study of the innate immune response of 113 COVID-19 patients has been performed. All COVID-19 patients were found to express elevated IFN- $\alpha$ , interleukin-1 $\alpha$  (IL-1 $\alpha$ ), IL-1 $\beta$ , IL-17A, and IL-12 p70 in blood plasma relative to healthy controls, comprising a core COVID-19 inflammatory signature, while IFN-III was elevated only in severe patients (Lucas et al., 2020). Elevation of IFN- $\alpha$  and/or IFN-III correlated with duration of hospitalization and mortality. In contrast, others observed decreased IFN-I and -III in COVID-19 patients relative to healthy controls, and an increase



**Figure 2. Innate immune antagonism by SARS-CoV-2 proteins**

Coronavirus replication occurs in double-membraned vesicles (DMVs), which can shield viral RNA (ssRNA and dsRNA) from recognition by PRRs such as TLR3, TLR7, RIG-I, and MDA5. These PRRs activate adaptors TRIF and MyD88 downstream of TLRs, and MAVS and TBK1 downstream of RIG-I and MDA5 beginning at the interferon production pathway. Activation of IRF3, IRF7, or NFκB results in their nuclear translocation and in the transcriptional activation of immune genes including inflammatory cytokines and IFN. The dotted line represents the transition to IFN signaling beginning with IFN-I binding IFNAR to initiate JAK/STAT signaling and formation of the ISGF3 complex STAT1/STAT2/IRF9, which translocates into the nucleus to activate ISRE transcription. SARS-CoV-2-encoded proteins (red) inhibit multiple aspects of these pathways, resulting in decreased IFN and altered proinflammatory cytokine expression. Many of the SARS-CoV-2 IFN antagonists have been identified by overexpression assays *in vitro*, and thus await *in vivo* confirmation of their role in the virus's replication and pathogenesis (black).

in IFN-II correlated with disease severity (Galani et al., 2021; Hadjadj et al., 2020). Several studies also reported a similar up-regulation of IFN-II in critically ill patients, with a concomitant elevation of pro-inflammatory cytokines, such as IL-6 and TNF (Huang et al., 2020; Yao et al., 2021; Zhou et al., 2020a). This poor IFN-I and -III response did not, however, result in similarly poor ISG expression, as the ISGs OAS1 and MX1 (2'-5'-oligoadenylate synthetase 1 and MX dynamin like GTPase 1) were up-regulated in critically ill patients, suggesting either that a minimal IFN response was sufficient to establish ISG expression or that this expression occurred in an IFN-I/III-independent manner (Galani et al., 2021). Overall, these data suggest that there is interpatient variability in the IFN response in COVID-19, with severe disease characterized by prolonged IFN-I production in some instances and by a paucity of IFN-I expression in others. Further work will be needed to reconcile these results, which may reflect differences in patient populations under study. Patients with mild or moderate disease develop IFN-I and IFN-III responses, which decline as virus is cleared, coinciding with recovery (Figure 3A).

#### **SARS-CoV-2 induction of IFN pathways *in vitro***

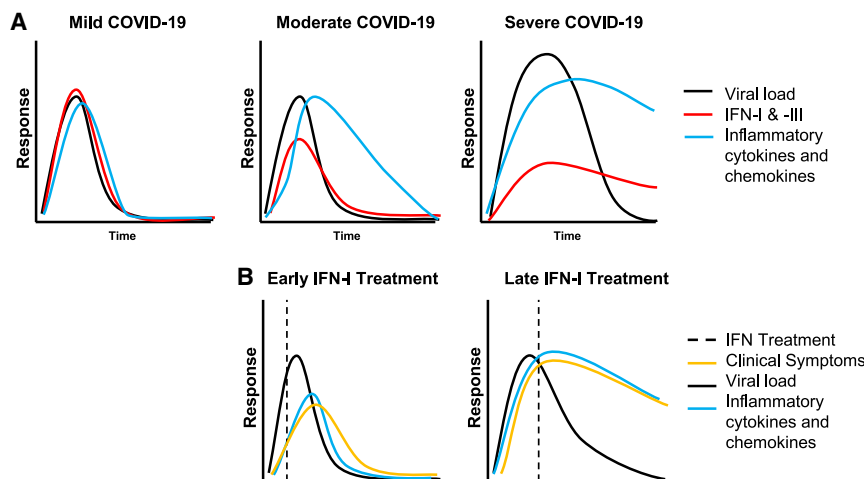
Several studies have revealed that SARS-CoV-2 is highly sensitive to pretreatment with IFN-I and -III, more so than SARS-CoV (Katsura et al., 2020; Lokugamage et al., 2020; Miorin et al., 2020). As expected, based on the several IFN-antagonistic proteins it encodes, SARS-CoV-2 disrupts the IFN response by reducing or delaying IFN expression, particularly when compared with viruses such as influenza A virus (IAV) and Sendai virus (Blanco-Melo et al., 2020; Lei et al., 2020). Treatment with recombinant IFN following infection did not reverse this reduction in IFN and ISG expression, further demonstrating that IFN signaling, not just IFN production, is antagonized (Miorin et al., 2020). Similarly, infection of *ex vivo* human lung cultures did not result in IFN-I, -II, or -III expression in type 1 and 2 pneumocytes and AMs (Chu et al., 2020). Thus, while AMs produce large amounts of IFN upon IAV infection, SARS-CoV-2, like SARS-

CoV, inhibited IFN expression in these cells, disrupting a key antiviral defense (Cheung et al., 2005; Chu et al., 2020). Consistent with these data, in infected patients, IFN-I expression by AMs was prolonged (and possibly delayed), contributing to a prolonged inflammatory response (Grant et al., 2021).

In contrast to these results demonstrating defects in IFN signaling, others have found that IFNs and ISGs are upregulated following the infection of primary organoid cultures with SARS-CoV-2. In human lung stem-cell-based organoids (alveolospheres), transcriptome profiling at 48 h post-infection (hpi) revealed increased expression of IFNs, STATs, ISGs, and chemokines relative to mock infection (Katsura et al., 2020). A similar model system showed robust ISG expression despite minimally elevated IFN-I at 72 hpi, indicating that ISG expression occurred in an IFN-I-independent manner (Youk et al., 2020). These apparently contradictory results indicate that a number of factors, including differences in cell types, in size of virus inoculum, and in the timing of the analyses need to be considered. In addition, further studies will be necessary to understand how ISGs are produced outside of traditional IFN signaling and will be key to understanding the antiviral state against SARS-CoV-2 infection.

#### **SARS-CoV-2 response to IFN in animal models**

Animal models that recapitulate features of COVID-19 and the human immune responses to SARS-CoV-2 are needed to study COVID-19 pathogenesis and to develop therapeutics to treat it. Immunocompetent wild-type (WT) mice are resistant to infection with original strains of SARS-CoV-2, such as the Wuhan-Hu-1 strain, because the virus cannot use mouse ACE2 to enter cells. Multiple models were developed to overcome this, including transducing mice with adenovirus vectors that express human ACE2, the generation of human ACE2 transgenic mice, and infecting mice with mouse-adapted (MA) virus (Muñoz-Fontela et al., 2020). Each of these mouse models varies in pathogenesis and in their development of an antiviral immune response (Figure 4). The infection of mice lacking IFN-I and -II receptors



**Figure 3. Kinetics of the innate immune response to COVID-19**

(A) A mild disease course is generally characterized by an IFN response that is robust, coincides with the peak of viral replication, results in rapid viral clearance, and is the resolution of IFN and of other inflammatory responses. As disease severity increases, the IFN-I and -III response is milder and delayed relative to viral replication. This allows for prolonged viral replication and for the prolonged expression of IFN and inflammatory cytokines that contribute to immune-mediated pathogenesis.

(B) Based on animal models and available clinical data, IFN-I treatment at early time points (relative to viral replication and symptom onset) is expected to enhance virus clearance and the rapid resolution of the inflammatory response and clinical symptoms. Late IFN-I treatment is expected not to enhance viral clearance, nor reduce inflammatory cytokine and chemokine responses, producing no change or possibly exacerbated clinical symptoms.

with MA SARS-CoV-2 resulted in increased viral replication, loss of lung function, and exacerbated lung congestion, compared with WT mice (Leist et al., 2020). In contrast to the minimal IFN upregulation in mice that developed mild disease, infection of K18-hACE2 transgenic mice, in which human ACE2 expression is driven by the cytokeratin 18 promoter, is robust and results in increased IFN-I, -II, and -III that persists beyond the peak of virus replication, recapitulating the correlation of IFN expression with disease severity in COVID-19 patients (Oladunni et al., 2020; Zheng et al., 2021a). Prolonged IFN expression was detrimental in WT mice infected with MA SARS-CoV, as it contributed to an exacerbated and pathogenic inflammatory response (Channappanavar et al., 2016). Similarly, SARS-CoV-2-infected K18-hACE2 transgenic mice exhibited prominent immune cell recruitment to the lungs, including of DCs, IMMs, and CD4 and CD8 T cells, and showed substantial weight loss, morbidity, and mortality (Zheng et al., 2021a). As seen with SARS- and MERS-CoV infection, prophylactic and early therapeutic IFN treatment was protective against MA SARS-CoV-2 infection and disease in BALB/c mice (Dinnon et al., 2020). IFN-III-treated animals showed significantly reduced weight loss and reduced virus replication in their lungs relative to untreated mice (Dinnon et al., 2020). Overall, these data suggest that early IFN signaling is protective against SARS-CoV-2, while poor IFN induction due to immune evasion or antagonism results in minimal protection from viral infection.

The infection of other animal models with SARS-CoV-2, including of hamsters, ferrets, and non-human primates, results in mild clinical disease, with a lethal infection only observed in aged hamsters (Selvaraj et al., 2021). The infection of hamsters and ferrets with SARS-CoV-2 does not result in an IFN- $\beta$  response (Bessière et al., 2021; Blanco-Melo et al., 2020; Hoagland et al., 2021), although ISG15 expression was observed after infection and remained elevated to 8 dpi, by which time the virus had already fully cleared (Hoagland et al., 2021). These data indicate that non-classical, IFN-independent induction of ISGs occurred in these animals after SARS-CoV-2 infection. When hamsters were treated prophylactically with IFN- $\alpha$ , it reduced inflammation and viral titers in their lungs. Moreover, when unin-

fectured hamsters that were cohoused with infected animals were treated with IFN- $\alpha$  prophylactically, it resulted in fewer infections among the uninfected, pretreated group. The IFN-pretreated animals that did become infected had only limited virus titers, indicating that IFN pre-treatment reduced viral transmission and the infectivity of treated animals (Hoagland et al., 2021). Meanwhile, treating hamsters with IFN- $\alpha$  at 3 dpi, coincident with symptom onset, did not change clinical outcomes (Bessière et al., 2021). By contrast, when non-human primates were infected with SARS-CoV-2, it resulted in significant upregulation of IFN-I and -II expression in bronchoalveolar lavage (BAL) fluid and induced robust ISG expression in the lungs, indicating that non-human primates mount a stronger IFN response to SARS-CoV-2 infection than that observed in other animal models (Chandrashekar et al., 2020; Singh et al., 2021). More studies are needed to understand why non-human primates develop a more robust IFN response relative to other infected animals. Of note, prophylactic IFN- $\alpha$  treatment of non-human primates followed by SARS-CoV infection resulted in reduced viral load and lung damage compared to untreated animals, again indicating that the IFN-I response is protective (Haagmans et al., 2004). Overall, these data indicate that hamster and ferret models recapitulate the minimal IFN-I expression with induction of an ISG response phenotype that is observed in some COVID-19 patients. They also demonstrate that the prophylactic treatment of hamsters with IFN-I reduced transmission and viral load, warranting further study of this treatment for use as an intervention in high-risk scenarios.

#### Therapeutic administration of IFN

As a result of the significant benefit observed in animals experimentally infected with SARS-CoV, MERS-CoV, and SARS-CoV-2, in response to being treated with IFN early in the course of disease, multiple clinical trials and retrospective studies are now being conducted to evaluate IFN treatment in human COVID-19 disease. A retrospective study of IFN-a2b treatment of COVID-19 patients in China revealed that treatment via nebulizer decreased the duration of hospitalization in critical patients in comparison to patients treated with antiviral protease inhibitors (Wang et al., 2020). Interestingly, this study found that IFN-a2b treatment did

**Table 1. SARS-CoV-2 antagonistic and evasion proteins**





Protein	Purported antagonism/ evasion function	Demonstrated in SARS- CoV-2?	Common mechanism known in other CoVs?	References
Nsp1	suppresses host protein expression inhibits IFN $\beta$ production	yes (live virus) <sup>a</sup>	similar function across CoVs, though mechanism varies	Lei et al., 2020; Lin et al., 2021; Xia et al., 2020
Nsp3	inhibits IRF3, NF $\kappa$ B signaling via papain-like protease activity	no	broadly conserved across CoVs	Lei et al., 2020
Nsp6	antagonizes TBK1 phosphorylation of IRF3inhibits STAT1 phosphorylation	yes (overexpression) <sup>b</sup>	likely	Xia et al., 2020
Nsp12	inhibits IFN $\beta$ production	yes (overexpression) <sup>b</sup>	likely	Lei et al., 2020
Nsp13	inhibits IFN $\beta$ productioninhibits STAT1/ STAT2 phosphorylation	yes (overexpression) <sup>b</sup>	likely	Lei et al., 2020; Xia et al., 2020; Yuen et al., 2020
Nsp14	N7-methyltransferase activity involved in RNA capping to evade PRR detection	no	broadly conserved across CoVs	Lei et al., 2020; Yuen et al., 2020
Nsp15	endonuclease activity degrades viral RNA to evade PRR detection	no	broadly conserved across CoVs	Yuen et al., 2020
Nsp16	2'-O-methyltransferase activity involved in RNA capping to evade PRR detection	no	broadly conserved across CoVs	DeDiego et al., 2014
S	inhibits IFN $\beta$ production	yes (overexpression) <sup>b</sup>	no	Yuen et al., 2020
M	inhibits PRR signalinginhibits activation of IFN $\beta$ and NF $\kappa$ B promotersinhibits STAT1 phosphorylation	yes (overexpression) <sup>b</sup>	SARS- and MERS-CoV	Fu et al., 2021; Xia et al., 2020; Zheng et al., 2020
N	inhibits ISG production	yes (overexpression) <sup>b</sup>	SARS- and MERS-CoV	Mu et al., 2020
ORF3a	inhibits IFN $\beta$ productioninhibits STAT1 phosphorylation	yes (overexpression) <sup>b</sup>	SARS-CoV	Lei et al., 2020; Xia et al., 2020
ORF3b	inhibits IFN $\beta$ production	yes (overexpression) <sup>b</sup>	SARS-CoV	Konno et al., 2020
ORF6	inhibits nuclear translocation of STAT1 via NUP98/RAE1 inhibition	yes (overexpression) <sup>b</sup>	SARS-CoV	Lei et al., 2020; Miorin et al., 2020; Xia et al., 2020; Yuen et al., 2020
ORF7a	inhibits STAT2 phosphorylation	yes (overexpression) <sup>b</sup>	no	Xia et al., 2020
ORF7b	inhibits STAT1/STAT2 phosphorylation	yes (overexpression) <sup>b</sup>	no	Xia et al., 2020
ORF8	inhibits ISG productioninhibits IRF3 nuclear translocation	yes (overexpression) <sup>b</sup>	no	Lei et al., 2020; Rashid et al., 2021
ORF9b	disrupts MAVS/TRAF3/ TRAF6 signalosome	no	SARS- and MERS-CoV	Wu et al., 2021

<sup>a</sup>Experimentally validated using clinical isolates and genetically modified live SARS-CoV-2 containing deletion mutations in Nsp1.

<sup>b</sup>Demonstrated via overexpression of the protein *in vitro* in the absence of SARS-CoV-2 infection.

not benefit moderately ill patients; however, whether this was because the IFN response in these patients was already sufficiently robust is unknown. IFN- $\alpha$ 2b treatment was also only beneficial when provided early, within 5 days of post-hospital admission, while those that received IFN treatment at later time points

had extended hospital stays and slower recovery compared to patients treated early (Wang et al., 2020). A separate study using a similar treatment regimen found that IFN- $\alpha$ 2b treatment reduced virus titers and viral RNA in the airways relative to untreated patients (Zhou et al., 2020c). Similarly, early combination treatment

	Mouse	Hamster	Ferret	Non-human primate
				
<b>Clinical Outcomes</b>	Mild to lethal disease in hACE2-transgenic mice Mild to lethal disease in infection of WT mice with MA virus Mild, sublethal disease in Ad5-ACE2 mice	Mild to moderate disease in young hamsters Severe to lethal disease in aged hamsters	Mild, sublethal disease	Mild disease that increases in severity with age, though remains sublethal
<b>Pathogenesis</b>	Upper and lower respiratory tract infection with moderate to severe lung pathogenesis Brain infection in some hACE2-transgenic mice	Upper and lower respiratory tract infection with moderate to severe lung pathogenesis	Upper and lower respiratory tract infection with mild pathology	Upper and lower respiratory with minimal lung pathology in most NHPs Baboons develop moderate lung pathology
<b>Utility</b>	Development of therapeutics/antivirals Vaccine development Modeling mild to severe clinical disease Wide variety of genetic backgrounds available	Development of therapeutics/antivirals Vaccine development Modeling transmission and mild and severe (aged hamsters) clinical disease	Development of therapeutics/antivirals Vaccine development Modeling transmission and mild clinical disease	Development of therapeutics/antivirals Vaccine development Modeling mild clinical disease

**Figure 4. Animal models of COVID-19**

Various laboratory animals, particularly mice, hamsters, ferrets, and non-human primates, have been used to model different aspects of COVID-19 to develop antiviral drugs and to improve our understanding of COVID-19 disease in humans. Because mice are not naturally susceptible to initial isolates of SARS-CoV-2, due to the incompatibility of the virus with mouse ACE2, adenovirus-5-transduced human ACE2-transgenic mice (Ad5-hACE2) and hACE2-transgenic mice have been used to confer susceptibility. Wild-type (WT) mice can also be infected with mouse-adapted (MA) SARS-CoV-2. Hamsters and ferrets are naturally susceptible to SARS-CoV-2 infection and can transmit virus to uninfected animals, enabling studies of viral transmission. Non-human primates are also naturally susceptible to SARS-CoV-2 and, as the laboratory animal most closely related to humans, represent an important model for preclinical trials of therapeutics and vaccines (Muñoz-Fontela et al., 2020).

of MERS-CoV-infected patients with IFN- $\beta$ 1 and antiviral protease inhibitors within 7 days of symptoms resulted in decreased mortality, compared to placebo and those treated 7 days post-symptom onset (Arabi et al., 2020). IFN- $\beta$  treatment was also found to reduce symptoms, as well as incidence of severe disease and mortality in patients with COVID-19 (Hung et al., 2020; Monk et al., 2021). Of note, the WHO Solidarity Trial Consortium clinical trial found that IFN-I treatment was not beneficial in treating SARS-CoV-2 infection (WHO, 2021), which might reflect the timing of treatment. The therapeutic use of IFN-III in patients with mild-moderate COVID-19 resulted in modest to no benefits (Feld et al., 2021; Jagannathan et al., 2021). Together, these results indicate that IFN-I will not be beneficial for all patients but may be useful for subsets of patients, such as those that are treated early during infection and in those with a minimal IFN response (Figure 3B). However, this treatment is unlikely to be useful in patients with pre-existing anti-IFN antibodies.

### Cytokine and chemokine response to SARS-CoV-2

In addition to IFNs, many other cytokines and chemokines are produced once PRRs sense viral RNA, leading to the activation of signaling cascades, such as the NF $\kappa$ B pathway, which ultimately results in the expression of a wide variety of cytokines and chemokines. Activation of the NOD-like receptor pyrin domain containing 3 (NLRP3) inflammasome, which enables the cleavage and activation of cytokines such as IL-1 $\beta$  and IL-18 (Rodrigues et al., 2021). As with the IFN response, many of these responses are dysregulated in patients with severe COVID-19, with critically ill patients exhibiting a more substantially dysregulated response than patients with mild or moderate disease. Many studies have sought to identify the inflammatory mediators most linked to severe outcomes, and while an exact set of inflammatory markers cannot be established due to patient-to-patient variability, a general phenotype of elevated IL-6, IL-8, IL-10, and TNF, along with a mixture of elevated chemokines, including CCL2, CCL3, and CXCL8, has been observed (Blanco-Melo et al., 2020; Huang et al., 2020; Mulchandani et al., 2021; Zhou et al., 2020a).

*In vitro*, a similar pro-inflammatory cytokine response to SARS-CoV-2 has been observed in a wide variety of susceptible cell types and organoids, resulting in the upregulation of inflammatory cytokines such as IL-6 and TNF, as well as a robust chemotactic response that includes elevated levels of various chemokines, including CCL20, CXCL1, CXCL3, CXCL5, CXCL6, CXCL2, CXCL10, and CXCL16 (Katsura et al., 2020; Yang et al., 2020). Similarly, the infection of monocyte-derived macrophages with SARS-CoV-2, though not resulting in the production of infectious virus, resulted in the upregulation of TNF, IL-8, IP-10 (CXCL10), and IL-1 $\beta$ , indicating that while IFN expression was impaired in these cells, inflammatory cytokine and chemokine production was not (Hui et al., 2020; Zheng et al., 2021b). As with the IFN signaling pathways, SARS-CoV-2 proteins can antagonize other cytokine responses, such as those mediated by NF $\kappa$ B. An accessory protein of SARS-CoV-2, ORF9b, has been demonstrated to interact with NF $\kappa$ B essential modulator (NEMO) and to disrupt its K63-linked polyubiquitination, disrupting NF $\kappa$ B signaling and inhibiting the production of inflammatory cytokines such as IL-6 and TNF (Wu et al., 2021).

As in COVID-19 patients and *in vitro* models, animal models of SARS-CoV-2 infection present with a similar pro-inflammatory cytokine and chemokine profile with genes encoding TNF, IL-6, CXCL10, CCL2, CCL5, and IFN-II being the most commonly upregulated across multiple studies and linked to severe outcomes (Blanco-Melo et al., 2020; Boudewijns et al., 2020; Dinnon et al., 2020; Leist et al., 2020; Oladunni et al., 2020; Singh et al., 2021; Sun et al., 2020; Zheng et al., 2021a). TNF and IFN-II have been shown to synergistically promote PANoptosis in SARS-CoV-2-infected mice (PANoptosis is a form of inflammatory-mediated cell death characterized by the collective activation of the pyroptosis, apoptosis, and necroptosis pathways). When these mice were treated with neutralizing antibodies against these cytokines, disease was ameliorated and mortality reduced (Karki et al., 2021). Supporting the notion that immune-mediated pathology is a significant driver of disease severity, infection of baboons with SARS-CoV-2 resulted in a more robust

inflammatory cytokine and chemokine profile than that seen in SARS-CoV-2-infected rhesus macaques and was reflected in a more severe lung pathology in the baboons. Interestingly, the inflammatory and IFN responses in rhesus macaques peaked and resolved with kinetics similar to those of the virus. Resolution was coupled with a robust T cell response, suggesting that a coordinated innate immune and T cell response is critical for preventing immunopathological changes (Singh et al., 2021).

Since a dysregulated inflammatory response appears to occur during COVID-19 disease progression, many have suggested that SARS-CoV-2 causes a prolonged cytokine storm that leads to morbidity and mortality in patients. While “cytokine storm” does not have a precise definition, it is broadly characterized as being an excessive inflammatory response with substantial elevation of many pro-inflammatory cytokines and chemokines. Classic examples of cytokine storms are found in sepsis, ARDS, and sometimes as a side effect of chimeric antigen receptor (CAR) T cell therapy; viral diseases caused by influenza, SARS, and MERS have also been similarly labeled, although not with unanimous agreement. Data suggest that while inflammatory mediators are significantly upregulated in COVID-19 patients relative to healthy patients, they are not substantially differentially regulated relative to influenza patients (Galani et al., 2021; Mudd et al., 2020). For example, IL-6 and IL-8 are similarly elevated in COVID-19 and influenza patients, while many other inflammatory genes were actually decreased in expression in COVID-19 compared to influenza patients (Mudd et al., 2020). Interestingly, when comparing these responses to classical cytokine storms, such as those seen in ARDS and sepsis, IL-6 concentrations are 5 to 100 times higher in these conditions than in patients with COVID-19 (Leisman et al., 2020). Similar results are seen for IL-8, TNF, and IFN- $\gamma$ , all of which are known significant drivers of inflammation (Leisman et al., 2020). It may therefore be incorrect to characterize COVID-19 disease as a cytokine storm, and significant inflammatory dysregulation may be a more accurate characterization of the immune response in these patients.

### Cellular innate immune response to SARS-CoV-2

In addition to the innate cytokine response, SARS-CoV-2 infection results in the extensive recruitment of macrophages, monocytes, DCs, and neutrophils to the lungs; pathological findings indicate that neutrophil chemoattractants such as CXCL8, CXCL1, and CXCL2, and the monocyte chemoattractants CCL2 and CCL7, are also induced and upregulated (Boudewijns et al., 2020; Zheng et al., 2021a). The infiltration of these cells into the lungs has been demonstrated to contribute to the pathology observed in SARS-CoV-infected mice; when IMMs were depleted in these mice with antibodies, they were protected from lethal infection and showed improved virus-specific T cell responses (Channappanavar et al., 2016). Phenotypic studies of infiltrating monocytes in the BAL of COVID-19 patients have revealed extensive differentiation of monocytes with an inflammatory phenotype, potentially driven by granulocyte-macrophage colony-stimulating factor (GM-CSF)-expressing T cells (Chevrier et al., 2020; Zhou et al., 2020d). These inflammatory monocytes are a significant source of IL-6 and other inflammatory cytokines, contributing to pathogenesis (Chevrier et al., 2020; Zhou et al., 2020d). Neutrophils are known to produce

reactive oxygen species (ROS) and neutrophil extracellular traps (NETs) that promote cell death, and these NETs have been characterized in the lungs and tracheal aspirates of COVID-19 patients (Veras et al., 2020). ROS and NET production by neutrophils may exacerbate the deleterious response to infection; *in vitro* data suggest that NETs produced by neutrophils following SARS-CoV-2 infection promote lung epithelial cell death (Veras et al., 2020). NK cells participate in the innate immune response by producing cytokines, such as IFN- $\gamma$  and TNF, and by killing virus-infected cells. However, it is widely reported that overall NK cell numbers are substantially reduced in the blood of COVID-19 patients relative to healthy controls (Maucourant et al., 2020). Those NK cells that are present show robust activation with high levels of granzyme B and perforin, proteins involved in the cell lysis activity of T and NK cells. The expression levels of these proteins correlated with increased IL-6 expression and with markers of organ failure, suggesting that cytokine-producing NK cells may play a role in exacerbating immunopathology in COVID-19 patients.

### Pathology of COVID-19

SARS-CoV-2, similar to SARS- and MERS-CoV, causes severe tissue damage in the lungs and other organs, leading to vascular damage and progression to ARDS, multi-organ failure, and other clinical manifestations classified as critical in patients. Post-mortem lung histology of SARS patients has revealed the presence of alveolar damage, the loss of bronchial epithelial cell layers and cilia, abnormal squamous cells, hyaline membrane formation, and edema. Extensive monocyte/macrophage accumulation in the lungs was also found, which likely drove a robust inflammatory cytokine response, contributing to the observed pathology (Nicholls et al., 2003). Unsurprisingly, SARS-CoV-2 infection results in similar pathological manifestations. An early autopsy report of a COVID-19 patient with early-phase ARDS found pneumocyte desquamation and hyaline membrane formation, as well as significant inflammatory mononuclear cell infiltration, including a large number of lymphocytes (Barton et al., 2020; Liu et al., 2020; Tian et al., 2020; Xu et al., 2020). An autopsy of a COVID-19 patient who had recovered from mild respiratory disease but succumbed to a sudden cardiac event identified SARS-CoV-2 particles remaining in the lungs of the patient, despite negative PCR testing of nasopharyngeal swabs (Yao et al., 2020). Alveolar damage, desquamation of pneumocytes, hyaline membrane formation, and inflammatory mononuclear infiltrates were also observed in the lungs; however, pulmonary edema was not seen (Yao et al., 2020). This suggests that infection of lung tissue and immunopathology may remain extensive in recovered patients, potentially contributing to unknown long-term effects (Yao et al., 2020). Consistent with this, 22%–56% of COVID-19 patients had long-term pulmonary sequelae when assessed 6 months after resolution of acute COVID-19 (Huang et al., 2021).

### Anti-inflammatory therapeutic strategies

The robust inflammatory response to SARS-CoV-2 has led to many clinical trials that are testing the efficacy of anti-inflammatory drugs on COVID-19. Most prominently, the large RECOVERY trial in the UK found that treatment with dexamethasone reduced mortality if given to patients receiving mechanical ventilation or oxygen support, though it had no effect or even negative effects

when administered to patients with mild disease (Horby et al., 2021). Several clinical trials of glucocorticoids have further corroborated their efficacy in reducing the duration of mechanical ventilation and mortality and are now the standard treatment for critically ill COVID-19 patients (Cavalli et al., 2021; Angus et al., 2020; Tomazini et al., 2020). In addition to the broadly acting glucocorticoids, inhibitors of specific cytokines known to be upregulated in patients with moderate and critical COVID-19 have been employed as well, such as the anti-IL-6 receptor monoclonal antibody, tocilizumab. While some trials have reported a lack of improvement in COVID-19 patient mortality upon IL-6 inhibitor treatment, several others have demonstrated an amelioration of lung pathology and lymphopenia, and a reduction in the time spent in the ICU and in overall mortality, especially if administered with corticosteroids (Cavalli et al., 2021; The REMAP-CAP Investigators, 2021). Similarly, IL-1 $\beta$  signaling antagonists, such as the anti-IL-1 $\beta$  monoclonal antibody canakinumab or the IL-1 receptor antagonist anakinra have been shown to reduce COVID-19 patients' need for supplemental oxygen and to reduce fever, time in ICU, and mortality as well (Cavalli et al., 2021; Della-Torre et al., 2020). Other therapeutic strategies have also targeted signaling molecules downstream of IFN, including JAKs and STATs, in order to inhibit pathogenesis related to a dysregulated IFN response. COVID-19 patients treated with the JAK/STAT inhibitor ruxolitinib show similar improvements to those reported for the aforementioned immunomodulatory therapies, indicating that a reduction in IFN signaling reduces overall inflammation in these patients (D'Alessio et al., 2021). Other potential inflammatory targets for therapeutics include cytokines such as TNF, neutrophil NET production, and components of the complement cascade, as excessive complement activation may contribute to the thrombosis and endothelialitis that is a hallmark of severe COVID-19 (Ackermann et al., 2020).

### Conclusions

In conclusion, these studies show that COVID-19 pathogenesis is a manifestation both of direct virus replication and the resultant host response. Many different pro-inflammatory mediators appear to have a role in poor outcomes, although thus far, no single cytokine or chemokine has been shown to be the most important. Most likely, the actions of a group of cytokines, lack of an early IFN-I and -III response, and innate inflammatory cells contribute to severe disease (Figure 3). The challenge going forward will be to determine which of these inflammatory mediators are the most important in individual COVID-19 patients with severe disease and, consequently, which patients would benefit from the therapies described above. As highlighted in this review, the pathogenic mechanisms of this viral disease are similar but not the same in all patients.

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### DECLARATION OF INTERESTS

The authors declare no competing interests.

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